



MAIL STOP PATENT APPLICATION

Attorney Docket No. 25705

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Dov STEINBERG

Serial No. 10/661,781

Filed: September 15, 2003

For: **FLOATING MODULAR BREAKWATER**

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Commissioner:

Submitted herewith for filing in the U.S. Patent and Trademark Office are the following:

- (1) Transmittal Letter
- (2) Information Disclosure Statement and eleven (11) References
- (3) PTO Form 1449

Please charge any required fee, or credit any overpayment, in connection with this matter to deposit Account No. 14-0112.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By: 

Gary M. Nath
Registration No. 26,965
Marvin C. Berkowitz
Registration No. 47,421

Date: December 11, 2003
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INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Commissioner:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

☒ a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

☐ b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311.

The enclosed statement is accompanied by [check one]:

- ☐ i. a certification in part (e) below as specified in 37 C.F.R. 1.97(e), or
☐ ii. a check in the amount required by 37 C.F.R. 1.17(p).

☐ c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before payment of the issue fee.

- ☐ Certification report(e) below; and
☐ a check in the amount as required by 1.17(p).

☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

☐ e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

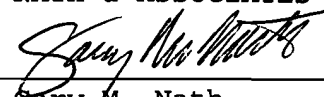
- ☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,
- or
- ☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- or
- ☐ Appropriate certification is attached.
- ☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.
- ☒ g. Copies of the documents are attached herewith with a completed Form PTO-1449.
- or
- ☐ Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:
- or
- ☐ Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:
- or
- Copies of Us Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/Vol.1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

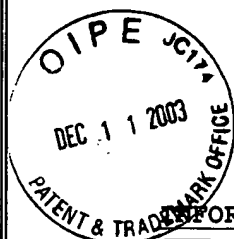
If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By: _____


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FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Atty Docket
25705Serial No.
10/661,781Applicant
Dov STEINBERGFiling Date
September 15, 2003Group Art Unit
N/A

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA	6,408,780 B1	6/25/2002	OZAKI et al.			10/29/99
	AB	3,792,589	2/19/1974	SAYLES			1/17/1972
	AC	3,863,455	2/4/1975	FULLER			12/10/73
	AD	3,969,901	7/20/1976	MATSUDAIRA et al.			8/23/1974
	AE	4,693,631	9/15/1987	McKAY			7/17/1986
	AF	4,715,744	12/29/87	RICHEY			12/12/86
	AG	5,310,283	5/10/1994	BERG			11/16/90
	AH	5,429,452	7/4/1995	FROST			8/24/1993
	AI	5,702,203	12/30/97	RESIO et al.			5/18/1995
	AJ	2,185,458	1/2/1940	GILIASSO			7/14/1939
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		M. Briggs et al., "Field and numerical comparisons of the RIBS floating breakwater", Journal of Hydraulic Research Vol. 40, No. 3, (2002), pgs 289-301
	AS		
	AT		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.
 Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to Applicant.